

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Application:

Kwon HONG et al

Serial No.: 09/888,060

Filed: 6/25/01



Group Art Unit: 2812

Examiner: TBA

For: (Amended) SEMICONDUCTOR MEMORY DEVICE HAVING A PLUG CONTACTED TO A CAPACITOR ELECTRODE AND METHOD FOR FABRICATING THE CAPACITOR

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a **Preliminary Amendment** in the captioned patent application.

Small Entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☒ Substitute Specification.

The fee has been calculated as shown below:

Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Small Entity Rate Addit. (or) Fee	Other Than A Small Entity Rate Addit. Fee
18 Total	20	- 20 = 0	x 9 = \$ 0	x 18 = \$
3 Indep.	3	- 3 = 0	x 40 = \$ 0	x 80 = \$

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First Presentation of Multiple Dependent Claims  $+135 = \$$   $+270 = \$$   
Total Additional Fee \$ \$

Please charge Deposit Account No. 06-1358 in the amount of \$\_\_\_\_\_. A duplicate of this sheet is attached.

A check in the amount of \$\_\_\_\_\_ is attached.

☒ If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is attached.

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By:

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Date: September 5, 2001  
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